

17224 U.S. PTO
110303

INTELLECTUAL PROPERTY DEPARTMENT
HUNTON & WILLIAMS LLP
RIVERFRONT PLAZA, EAST TOWER
951 EAST BYRD STREET
RICHMOND, VA 23219-4074

TEL 804 • 788 • 8200
FAX 804 • 788 • 8218
DAVID E. BAKER, ESQ.
DIRECT DIAL: 804 - 788 - 8762
EMAIL: DBAKER@HUNTON.COM

FILE NO: 62274.000027

22141 U.S. PTO
10/698084

110303

October 31, 2003

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: **62274.000027**

First Named Inventor: **Jonathan O'Neal**

Title: **SYSTEM AND METHOD FOR CONFIRMING TRANSACTION OR BILLING COMMUNICATIONS**

Customer No. **27682**

TO: **MAIL STOP PATENT APPLICATION**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☐ Fee Transmittal Form (original and duplicate)
2. ☒ Small Entity Status Claimed:

☐ Independent Inventor
☒ Small Business Concern
☐ Non-Profit Organization
3. ☒ A patent application including 21 pages of specification, 7 pages of claims, 1 page of abstract and 3 sheets of figures.

MAIL STOP PATENT APPLICATION

October 31, 2003

Page 2

| CLAIMS AS FILED | | | | | | |
|---|--------------|------------------|-------|--------------|--------------|-----------|
| | Claims Filed | Basic Fee Claims | Extra | Rate | | Amount |
| | | | | Large Entity | Small Entity | |
| Total Claims | 34 | 20 | 14 | \$ 18.00 | \$ 9.00 | \$ 126.00 |
| Independent Claims | 3 | 3 | 0 | \$ 86.00 | \$ 43.00 | \$ 0.00 |
| First Presentation of Multiple Dependent Claims | | | | \$ 290.00 | \$ 145.00 | \$ 0.00 |
| BASIC FEE | | | | \$ 770.00 | \$ 385.00 | \$ 385.00 |
| TOTAL FILING FEE | | | | | | \$ 511.00 |
| Assignment Recordation Fee | | | | | | \$ 0.00 |
| TOTAL AMOUNT | | | | | | \$ 511.00 |

4. ☒ Drawings - Total Sheets: 3 (Fig(s). 1-3)
5. Oath or Declaration - Total Pages: 2
- a. ☐ Newly executed (original or copy)
☒ New (unexecuted)
- b. ☐ Copy from a prior application
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(s):**
Signed statement attached deleting inventor(s) named in prior application.
6. ☐ Application Data Sheet
7. ☐ CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (two copies); or

MAIL STOP PATENT APPLICATION

October 31, 2003

Page 3

- ii. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statements verifying identity of above copies
9. ☐ Assignee/Applicant: _____

☐ Assignment and Assignment Recordation Form Submitted Herewith
☐ Assignee of Record in Prior Application No. _____ :

recorded on _____ at Reel _____, Frame _____.
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement with PTO-1449 and References
☐ Copies of Information Disclosure Statement Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☒ Priority is Claimed as Follows:
This application claims the benefit of the U.S. Application No. 10/411,971, filed April 11, 2003, which claims priority to U.S. Application No. 09/641,363 filed on August 18, 2000, which claims priority to U.S. Provisional Application No. 60/149,740 filed on August 19, 1999, all of which are incorporated herein by reference. This application also claims the benefit of U.S. Application No. 10/253,243 filed on September 24, 2002, which claims priority to U.S. Provisional Application No. 60/396,404 filed July 15, 2002 and U.S. Provisional Application No. 60/324,333 filed September 24, 2001
- ☐ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith
16. ☐ Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.

MAIL STOP PATENT APPLICATION

October 31, 2003

Page 4

17. ☐ Other: _____

18. ☐ Continuation ☐ Divisional ☒ Continuation-in-Part of
Prior Application No.: 10/411,971 filed April 11, 2003 and Prior Application
No. 10/253,243 filed on September 24, 2002.

Prior application information: Examiner _____ Group Art Unit _____

☐ Incorporation By Reference (useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the oath or
declaration is supplied under Box 5b, is considered as being part of the
disclosure of the accompanying continuation or divisional application and is
hereby incorporated by reference. The incorporation can only be relied upon
when a portion has been inadvertently omitted from the submitted application
papers.

☐ Complete Application Based on Provisional Application No.: _____
filed _____

19. Please address all correspondence to:

☒ CUSTOMER NUMBER **27682**

J. Michael Martinez de Andino, Esq.
HUNTON & WILLIAMS LLP
Riverfront Plaza, East Tower
951 East Byrd Street
Richmond, VA 23219-4074

20. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is attached.

21. ☐ A check in the amount of \$ _____ is enclosed. In the event any
variance exists between the amount enclosed and the Patent Office charges,
please charge or credit any such variance to **Deposit Account No. 08-3436**.

MAIL STOP PATENT APPLICATION

October 31, 2003

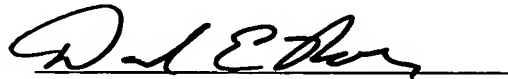
Page 5



The U.S. Patent and Trademark Office is hereby authorized to charge any the required fees relating to the enclosed patent application to **Deposit Account No. 08-3436**.

Respectfully submitted,

By:



David E. Baker, Esq.

Registration No. 42,285

DEB/lac
Enclosures

EXPRESS MAIL LABEL NO. ET374673002US

Attorney Docket No. 62274.000027

Attorney Customer No. 27682

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|---------------------------------|---|----------------------------|
| In re US Patent Application of: |) | |
| |) | |
| Jonathan O'Neal |) | |
| |) | |
| Application No.: Unassigned |) | Group Art Unit: Unassigned |
| |) | |
| Filing Date: October 31, 2003 |) | Examiner: Unassigned |
| |) | |
| Title: SYSTEM AND METHOD FOR |) | |
| CONFIRMING TRANSACTION OR |) | |
| BILLING COMMUNICATIONS |) | |

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

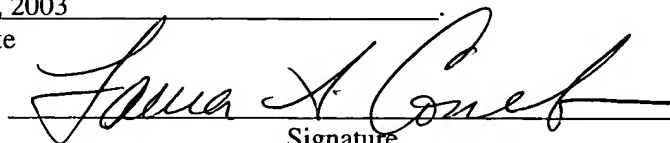
Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service
with sufficient postage as First Class Mail in an envelope addressed to:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on October 31, 2003

Date



Signature

Laura A. Couch

Typed or printed name of person signing Certificate

Documents being submitted with this Certificate of Mailing include:

- Utility Patent Application Transmittal (Request for CIP) with authorization to charge official fees of \$511.00 to Deposit Account No. 08-3436
- Patent Application entitled "System and Method for Confirming Transaction or Billing Communications" including 21 pages specification, 7 pages of claims, 1 page of abstract and 3 sheets of Figures (1-3)
- An Unexecuted Sole Declaration and Power of Attorney
- Self Address Stamped Return Postcard

HUNTON & WILLIAMS
Riverfront Plaza, East Tower
951 East Byrd Street
Richmond, VA 23219
(804) 788-8200 (Telephone)
(804) 788-8218 (Facsimile)